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[Purport] Submitted hereby is a correction pursuant to Article 106-33(2) of the Enforcement Regulations of the Patent Law.

Submitted hereby is a correction pursuant to Article 106-36(3) of the Enforcement Regulations of the Patent Law.

Submitted hereby is a correction pursuant to Article 106-40(6) of the Enforcement Regulations of the Patent Law.

Applicant(Agent) KIM, Inhan (Seal)

[Attached Document(s)] 1. Two copies of written amendments

- 2. A statements explaining the contents of and reason for the amendments
- 3. A copy of the document(s) substantiating the power of attorney, if any

210mm× 297mm(보존용지(2종) 70g/m')



February 18, 2005

The International Preliminary Examining Authority Korean Intellectual Property Office 920 Dunsan-dong, Seo-gu, Daejeon 302-701 Republic of Korea

Re:

Filing of Amendments under Article 34

International Application No. PCT/KR2003/002362

Applicant: JANG, Jin. et al Our Ref.: PCT203-0030

Dear Sirs,

In connection with the above-identified PCT application, applicants wish to amend the application as specified herein under PCT Article 34.

The amendment as follows: Claims 1, 7 and 21 are amended and the remaining claims remain unchanged. To comply with the revision of the amended claims, the corresponding parts (page 7, 10, 11, 12 and 13) from the description have been revised accordingly.

Please replace original pages 7 and 10-18 of description and pages 19, 20 and 22 of claims with the enclosed replacement pages.

Please refer to the attached replacement sheets and attend to reflecting on the International Preliminary Examination Report.

The Examiner is respectfully invited and encouraged to contact the undersigned attorney if any questions arise concerning this amendment or this application.

Yours faithfully,

Inhan KIM, Ph. D. Patent Attorney

ihk/hkj/ej

deposition of the metal is performed by using an ion implantation, a PECVD, a sputter, a shadow mask, or a coating of a liquid-phase metal dissolved in an acid solution, a spin coating of a mixture of an organic film and a liquid-phase metal, or a gas containing a metal.

In addition, in the aspect of the present invention, it is preferable that the metal is partially patterned by using one selected form a photolithography, a photoresist, and a shadow mask.

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In addition, in the aspect of the present invention, it is preferable that the metal is deposited to have a surface density in a range of 10^{12} to 10^{15} cm⁻².

In addition, in the aspect of the present invention, it is preferable that the metal is deposited to have a thickness of 1000nm or less.

In addition, in the aspect of the present invention, it is preferable that the metal is nickel.

In addition, in the aspect of the present invention, it is preferable that the buffer layer is a layer selected from a silicon nitride film and a silicon oxide film.

In addition, in the aspect of the present invention, it is preferable that the preliminary thermal treatment is performed at a temperature of 200 to $800\,$ °C.

In addition, in the aspect of the present invention, it is preferable that the secondary phase transition of the amorphous material is performed by at least one method selected from a thermal treatment method, a rapid thermal treatment method, and a laser illumination method.

In addition, in the aspect of the present invention, it is preferable that the thermal treatment is performed at a temperature of 400 to $1300\,\mathrm{C}$.

In addition, in the aspect of the present invention, it is preferable that the thermal treatment is performed by one selected from a halogen lamp, a ultraviolet

200, a cap layer 400 formed on the amorphous material 300, and a metal 500 deposited on the whole surface of the cap layer 400 (see 2a).

The dielectric substrate 100 is not limited to a specific material. However, it is preferable that the dielectric material a material selected from glass, quartz, a single crystal wafer covered with an oxide film is used as the dielectric material in order to ensure the uniformity of the temperature during the phase transition of the amorphous material and the uniformity of the thin film.

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The buffer layer 200 is not an essential component in the process. However, if it is deposited, the buffer layer is preferably a layer selected from a silicon nitride film and a silicon oxide film.

The amorphous material 300 is not limit to a specific material, but it may include an amorphous silicon.

The cap layer 400 has functions of diffusing the metal uniformly into the amorphous material layer and protecting the thin film from an unnecessary metal contamination. The cap layer 400 is preferably made up of one of a silicon nitride film, a silicon oxide film, an organic film, and it is also formed with a double film comprising a silicon nitride film and a silicon oxide film.

The deposition of the cap layer 400 is preferably performed at a temperature of 650°C or less. The deposition method is not limited to a specific method, but a PECVD (plasma enhanced chemical vapor deposition) method is preferable.

In addition, the cap layer is preferably formed to have its thickness in a range of 0.1 to 1000nm.

The deposition of the metal 500 is performed by using one method of an ion implantation method, a PECVD method, a sputter method, and a shadow mask

method. Otherwise, the deposition is performed by using a coating of a liquid-phase metal dissolved in an acid solution, a spin coating of a mixture of an organic film and a liquid-phase metal, or a gas containing a metal. Therefore, the deposition method is not limited to a specific method. And it is not necessary to perform another patterning step for forming metal layer, because the metal layer is formed on the whole surface of the cap layer in one depositing step.

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In addition, the metal 500 is preferably deposited to have its surface density in a range of 10¹² to 10¹⁸ cm⁻² and its thickness of 1000nm or less. The metal used herein is not limited to a specific metal, but nickel is preferable.

The phase transition method of the amorphous material 300 is performed by one of a thermal treatment method and a method using a laser. The thermal treatment may be performed by using a halogen lamp, an ultraviolet lamp, a furnace, or the like, but it is not limited to them.

In addition, the phase transition of the amorphous material 300 is performed by a method using one of an electric field and a magnetic field.

In addition, it is preferable that the thermal treatment is performed at a temperature of 400 to 1300°C. The thermal treatment is performed by one selected from a rapid thermal treatment method in the aforementioned temperature range and a long-term thermal treatment method. Moreover, both of the methods may be used for the thermal treatment.

The rapid thermal treatment method is a method of repeating multiple times of thermal treatments for tens of seconds at a temperature of 500 to 900° C. The long-term thermal treatment method is a method of performing a thermal treatment for longer than one hour at a temperature of 400 to 500° C.

If the phase transition of the amorphous material 300 is achieved, the metal

500 is diffused into the cap layer 400. Therefore, within the amorphous material 300, nuclei of metal disilicide (MSi₂, precipitates) are formed to be laterally grown. As a result, grain boundaries 340 are formed between the grains 320 (see Fig. 2c).

On the other hand, the grains 320 continue to be laterally grown from the nuclei of metal disilicide, so that the boundaries 340 are gradually narrowed. As a result, the amorphous material is completely phase-transitioned into a polycrystalline material (see Fig. 2c).

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After the amorphous material is completely phase-transitioned, the metal 500 and the cap layer 400 are removed by an etching process. Finally, the polycrystalline film can be obtained in accordance with the present invention.

On the other hand, in order to more completely crystallizing the amorphous material, a preliminary thermal treatment may be performed at a temperature of 200 to 800°C before the step of the phase transition of the amorphous material, or a secondary phase transition which is the same as the phase transition may be performed on the amorphous material.

The preliminary thermal treatment is performed by one of the aforementioned thermal treatment methods.

Fig. 3 which is another embodiment of the present invention illustrates a phase transition method where the cap layer 400 is formed with two parts and then the phase transition of the amorphous material is performed. In the embodiment, it is sufficient for the cap layer 400 to comprise first and second parts having different thicknesses. The cap layer is not limited to a structure of the first part having a single film and the second part having a double film.

In particular, in the embodiment, it is preferable that a lower portion of the second part is made up of the same material as that of the first part. Moreover,

the upper and lower portions of the second part may be made up of different materials.

Fig. 4 which is still another embodiment of the present invention illustrates a phase transition method where the metal 500 on the cap layer 400 is partially patterned and then the phase transition of the amorphous material is performed. In the embodiment, the partial pattering of the metal 500 is performed by using one of a photolithography, a photoresist, and a shadow mask.

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Figs. 5a to 5c which are still another embodiment of the present invention illustrate steps in a phase transition method of an amorphous material 300 where a metal 500, a buffer layer 200, and the amorphous material 300 are sequentially deposited on the whole surface of a dielectric substrate 100. In the embodiment unlike the other embodiments, the metal 500 is diffused through the buffer layer 200 upwardly, and thus, the respective grains 320 within the amorphous material 300 deposited on the buffer layer 200 are grown toward the grain boundaries 340, so that the amorphous material is gradually changed into a polycrystalline material (see Figs. 5a to 5c).

Figs. 6a to 6d are further still another embodiment of the present invention. In the embodiment, a buffer layer 200, an amorphous material 300, a cap layer 400, and a metal 500 are sequentially deposited on a dielectric substrate 100 (see Fig. 6a). Next, a preliminary thermal treatment is performed on the amorphous material 300 (see Fig. 6b). And then, the thermally-treated metal 500, the cap layer 400, and the amorphous material 300 are patterned (see Fig. 6c). Next, the phase transition is performed on the amorphous material 300, and then, the metal 500 and the cap layer 400 are removed (see Fig. 6d). By these steps, the amorphous material is phase-transitioned.

Figs. 7a to 7c are further still another embodiment of the present invention. In the embodiment unlike the embodiment shown in Figs. 6a to 6d, the step of depositing a second cap layer 400' on the deposited metal layer 500 is further comprised. As a result, the metal layer 500 constructed to have two cap layers above and below the metal layer.

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After the completion of the deposition, before the phase transition being performed on the amorphous material, the amorphous material 300, the first cap layer 400, the metal layer 500, and the second cap layer 400' are patterned by a photolithography using a photoresist (see Fig. 7b). Next, the amorphous material 300 is crystallized, and then, the first cap layer 400, the metal 500, and the second cap layer 400' are removed (see Fig. 7c). By these steps, the amorphous material is phase-transitioned.

For the various embodiments shown in Figs. 3 to 7, the components, the deposition methods of the components, and the phase transition method of the amorphous material shown in Fig. 2 are adapted, as they are. In addition, the secondary phase transition of the amorphous material is also adapted.

Figs. 8 to 10 illustrate photographs of the polycrystalline silicones which are obtained by using silicon as an amorphous material in the preferred embodiments according to the present invention.

Figs. 8a and 8b illustrate cases of phase transitions. In these cases, glass is used as the dielectric substrate and the amorphous silicon having a thickness of 50nm is deposited on the buffer layer. In addition, the silicon nitride film having a thickness of 150nm is deposited as the cap layer, and nickel of 10^{13} cm⁻² is deposited as the metal layer. Next, a thermal treatment is performed at a temperature of $430\,^{\circ}$ C for one hour. And then, multiple times of thermal

treatment are performed at a temperature of 750°C in a time interval of 20 seconds.

Fig. 8a shows a result of a phase transition by repeating 5 times of thermal treatments at a temperature of 750°C for 20 seconds. Fig. 8b shows a result of a phase transition by repeating 20 times of thermal treatments under the same condition. In the figures, it can be understood that the grains are laterally grown. In particular, it can be understood that, as the thermal treatments are more repeated, the quality of polycrystalline is getting better and better.

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Figs. 9a to 9c illustrate cases of phase transitions. In these cases, glass is used as the dielectric substrate, a silicon oxide film having a thickness of 100nm is deposited as the buffer layer, and the amorphous silicon having a thickness of 50nm is deposited. In addition, the silicon nitride film having a thickness of 60nm is deposited as the cap layer, and nickel is deposited as the metal layer. In these cases, a preliminary thermal treatment is performed at a temperature of 500°C for 5 minutes, as the amount of the metal is varied. And then, 20 times of thermal treatment are performed at a temperature of 750°C for 20 seconds.

Figs. 9a, 9b, and 9c correspond to the cases of nickel being $5 \times 10^{12} \text{cm}^{-2}$, $8 \times 10^{12} \text{cm}^{-2}$, and 10^{13}cm^{-2} , respectively. As shown in these figures, it can be understood that as the amount of the metal is increased, the size of the grain is getting smaller and smaller.

Figs. 10a and 10b illustrate surfaces of silicon thin films as the amorphous materials which are phase-transitioned by the metal being induced according to the conventional method (Fig. 10a) and the present invention (10b), respectively. In the present invention, the cap layer having a thickness of 60nm is formed, and then, the amorphous material is crystallized, so that the RMS roughness of the polycrystalline silicon thin film is 0.92nm. On the other hand, the RMS (root

mean square) roughness in the conventional method is 1.33nm. As a result, it can be understood that the film of the present invention has the better roughness than that of the conventional method.

Fig. 11 is a graph illustrating a degree of an oxidation on a surface of a silicon thin film depending on the presence of the cap layer.

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One case is that the cap layer of a nitride film is provided to have a thickness of 350nm. The other case is that the cap layer is not provided. As shown in Fig. 11, it can be understood that the surface of the silicon thin film of the case where the cap layer is provided has less oxygen than that of the case where the cap layer is not provided, although the other process conditions are the same.

Figs. 12 and 13 are views illustrating aspects of silicon thin film crystallization depending on the concentration of nitrogen in the nitride film used as the cap layer.

In Fig. 12, Figs. 12a, 12b, and 12c are optical microscope photographs illustrating results of the phase transitions where the cap layer are formed to have the same thicknesses of 50nm and ratios [NH₃]/[SiH₄] of 35, 65, and 100, respectively. Herein, in the phase transitions, the other process conditions are the same. As shown in Fig. 12, it can be understood that, in the cases of Figs. 12b and 12c, the complete crystallization is obtained and the grains have a shape of circle or hexagon. In addition, it can be understood that the grain having a shape of hexagon is formed by the adjacent grains being abutted.

On the other hand, it can be understood that, in the case of Fig. 12a, complete crystallization is not obtained and the incomplete crystals are dispersed to have a shape of circle.

Herein, the sizes of the grains of Fig. 12b and 12a are $14\mu m$, and $10\mu m$, respectively. Therefore, the most amount of the metal, nickel can be obtained in the case that the ratio $[NH_3]/[SiH_4]$ is 100. As a result, it can be understood that, as the ratio $[NH_3]/[SiH_4]$ is larger, the diffusion rate of the metal is increased.

Accordingly, it can be understood that the amount of the metal can be controlled by using the ratio $[NH_3]/[SiH_4]$.

Fig. 13 is a graph of reflectance of a thin film by using illumination of a ray of 273nm ultraviolet light for illustrating degrees of crystallization of the specimens of Fig. 12. As shown in Fig. 13, in the case of the ratio [NH₃]/[SiH₄] being 35, the reflectance has no peak in the ultraviolet region, and in the cases of the ratios being 65 and 100, the reflectance has its peak. Therefore, it can be understood that, the metal-induced crystallization can be controlled depending on the condition of the deposition of the nitride film.

Although the present invention and its advantages have been described in details, it should be understood that the present invention is not limit to the aforementioned embodiment and the accompanying drawings and it should be understood that various changes, substitutions and alterations can be made herein by the skilled in the arts without departing from the sprit and the scope of the present invention as defined by the appended claims.

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INDUSTRIAL AVAILABILITY

According to the present invention, it is advantageous that the cap layer is disposed between the amorphous material and the metal to diffuse the metal, so that the metal contamination due to the direct contact of the metal and the amorphous material, which is a problem in the conventional method, can be

remarkably reduced.

In addition, according to the present invention, it is advantageous that the cap layer is formed on the amorphous material, so that the contamination or the oxidation on the surface of the thin film of the amorphous material can be prevented.

Furthermore, according to the present invention, although the cap layer is additionally provided, the cap layer can be formed without destructing the vacuum ambient in the process chamber while the conventional process of deposition of the amorphous material and the metal is performed. Therefore, it is advantageous that the process can be easily performed.

In addition, according to the present invention, it is advantageous that the amount of the metal can be controlled and the degree of the crystallization can be controlled by adjusting the concentration of nitrogen in the nitride film which is formed as the cap layer.

Moreover, according to the present invention, it is advantageous that the amount of precipitates of the metal disilicide formed in the thin film of the amorphous material can be controlled by adjusting the concentration of nitrogen in the nitride film which is formed as the cap layer, and thus, the phase-transitioned thin film having a high quality can be implemented.

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WHAT IS CLAIMED IS:

- 1. A phase transition method of an amorphous material, comprising steps of:
 depositing the amorphous material on a dielectric substrate;
 forming a cap layer on the amorphous material;
 depositing a metal on the whole surface of the cap layer; and
 performing a phase transition on the amorphous material.
- 2. The phase transition method of an amorphous material according to claim 1, wherein the method further comprises a step of depositing a buffer layer before the step of depositing the amorphous material on the dielectric substrate.
- 3. The phase transition method of an amorphous material according to claim 1, wherein the method further comprises a step of performing preliminary thermal treatment before the step of performing a phase transition on the amorphous material.
- 4. The phase transition method of an amorphous material according to claim 1, wherein the method further comprises a step of removing the metal and the cap layer after the step of performing a phase transition on the amorphous material.

5. The phase transition method of an amorphous material according to claim 3, wherein the method further comprises a step of patterning the thermally-treated film after the step of performing preliminary thermal treatment before the step of

performing a phase transition on the amorphous material.

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- 6. The phase transition method of an amorphous material according to claim 1, wherein the method further comprises a step of depositing a second cap layer on the metal, and a step of patterning the stack structure after the step of depositing the metal before the step of performing a phase transition on the amorphous material.
- 7. A phase transition method of an amorphous material, comprising steps of:
 depositing a metal on the whole surface of a dielectric substrate;
 forming a buffer or cap layer on the metal;
 depositing the amorphous material on the buffer or cap layer; and
 performing a phase transition on the amorphous material.
- 8. The phase transition method of an amorphous material according to one of claims 1 to 7, wherein the method further comprises a step of performing a secondary phase transition on the phase-transitioned material after the step of performing the phase transition on the amorphous material.
- 9. The phase transition method of an amorphous material according to one of claims 1 to 7, wherein the dielectric material is a material selected from glass, quartz, a single crystal wafer covered with an oxide film, and a thin metal substrate covered with a dielectric film.
- 10. The phase transition method of an amorphous material according to one of claims 1 to 7, wherein the amorphous material is an amorphous silicon.

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- 18. The phase transition method of an amorphous material according to claim 6, wherein the thickness of the second cap layer is in a range of 0.1 to 1000nm.
- The phase transition method of an amorphous material according to one of claims 1 to 7, wherein the deposition of the metal is performed by using an ion implantation, a PECVD, a sputter, a shadow mask, or a coating of a liquid-phase metal dissolved in an acid solution, a spin coating of a mixture of an organic film and a liquid-phase metal, or a gas containing a metal.

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- The phase transition method of an amorphous material according to claims and 7, wherein the metal is partially patterned by using one selected form a photolithography, a photoresist, and a shadow mask.
- The phase transition method of an amorphous material according to one of claims 1 to 7, wherein the metal is deposited to have a surface density in a range of 10^{12} to 10^{15} cm⁻².
- The phase transition method of an amorphous material according to one of claims 1 to 7, wherein the metal is deposited to have a thickness of 1000nm or less.
 - 23. The phase transition method of an amorphous material according to one of claims 1 to 7, wherein the metal is nickel.
 - 24. The phase transition method of an amorphous material according to one of

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I/We, the undersigned, do hereby appoint the above-identified agent as my/our agent to act for me/us in proceedings concerning all of my/our International Applications.

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